



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,302	03/26/2004	Nhut Nguyen	SAMS01-00320

23990  
 DOCKET CLERK  
 P.O. DRAWER 800889  
 DALLAS, TX 75380

CONFIRMATION NO. 8022  
**FORMALITIES LETTER**



\*OC000000012886294\*

Date Mailed: 06/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

08/16/2004 FFANAI2 0000068 10810302 Commissioner for Patents

01 FC:1001  
 02 FC:1051  
 03 FC:1202

770.00 0P P.O. Box 1450  
 130.00 0P  
 72.00 0P Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

*Freelance*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO.: 2003.10.010.NS0  
CLIENT NO.: SAMS01-00320  
Customer No.: 23990



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Nhut Nguyen et al.  
U.S. Serial No. : 10/810,302  
Filed : March 26, 2004  
For : APPARATUS AND METHOD FOR TESTING VOICE SYSTEMS IN  
A TELECOMMUNICATION NETWORK  
Group No. : 2661  
Examiner : Not Yet Assigned

**MAIL STOP MISSING PARTS**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts of NonProvisional Application;
2. Executed Declaration and Power of Attorney;
3. Check in the amount of \$972.00 (\$770.00 for the Statutory filing fee, \$130.00 for the late oath or declaration surcharge and \$72.00 for the additional claims);
4. Fee Transmittal for FY 2004 (in duplicate);
5. Return copy of the Notice to File Missing Parts of NonProvisional Application; and
6. A postcard receipt.

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 9, 2004

Date: Aug 9, 2004

Kathy Hamilton  
Mailer

Date: 9 August 2004

John T. Mockler  
John T. Mockler  
Reg. No. 39,775

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DOCKET NO.: 2003.10.010.NS0  
CLIENT NO.: SAMS01-00320  
Customer No.: 23990

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re provisional application of: Nhut Nguyen et al.  
Serial No. : 10/810,302  
Filed : March 26, 2004  
For : APPARATUS AND METHOD FOR TESTING VOICE  
SYSTEMS IN A TELECOMMUNICATION NETWORK  
Art Unit : 2661  
Examiner : Not Yet Assigned

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of NonProvisional Application dated June 7, 2004, attached are the following documents:

1. Certificate of Mailing by First Class Mail;
2. Executed Declaration and Power of Attorney;
3. Check in the amount of \$972.00 (\$770.00 for the statutory basic filing fee, \$130.00 for the late oath or declaration surcharge and \$72.00 for the additional claims);
4. Fee Transmittal for FY 2004 (in duplicate);

5. Return copy of the Notice to File Missing Parts of NonProvisional Application; and
6. Our postcard receipt.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0208.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: 7 August 2004

  
John T. Mockler  
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AUG 13 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# DUPLICATE FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 972.00)

## Complete if Known

Application Number	10/810,302
Filing Date	March 26, 2004
First Named Inventor	Nhut Nguyen
Examiner Name	Not Yet Assigned
Art Unit	2661
Attorney Docket No.	2003.10.010.NS0

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	50-0208
Deposit Account Name	Davis Munck

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ 770.00)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20** = 4	x 18	= 72
Independent Claims	3	- 3** = 0	x 86	= 0
Multiple Dependent			290	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 72.00)		

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	\$130.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 130.00)			

SUBMITTED BY (Complete if applicable)

Name (Print/Type)	John T. Mockler	Registration No. (Attorney/Agent)	39,775	Telephone	972-628-3600
Signature	<i>John T. Mockler</i>			Date	9 Aug. 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.